

Total No. of Questions : 5]

SEAT No. :

P3801

[4870] - 3006

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M.B.A.

FINANCE SPECIALISATION

**305 (FIN) : Financial Regulatory Framework
(2013 Pattern) (Semester-III)**

Time : 2½ Hours]

[Max. Marks : 50

Instructions to the candidates:

- 1) *All questions compulsory.*
- 2) *All question carry equal marks.*

Q1) a) Discuss the need and importance of Financial regulatory framework.

OR

- b) Write a detailed note of Quasi regulatory Institution - National Bank for Agriculture and rural development (NABARD).

Q2) a) Explain the major traditional as well as promotional functions of Reserve Bank of India.

OR

- b) Write a note on SEBI guidelines for issue of securities.

Q3) a) Define the term prospectus. Elucidate various contents of prospectus in detail.

OR

- b) What are the various types of Directors? Discuss the Duties and power of Directors.

Q4) a) What is External commercial Borrowing? Explain the key elements and terms and conditions regarding an external commercial borrowing.

OR

- b) Explain the Regulatory framework for raising funds through ADR in detail.

Q5) a) Explain in detail supervisory framework for NBFC's.

OR

- b) What is Indian Trust Act 1882? Elucidate the formation of a trust and types of registration associated with a Private Trust.

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