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**Total No. of Pages: 02**  
**Total No. of Questions: 10**

**M B A (Sem.-3<sup>rd</sup>)**  
**SECURITY ANALYSIS & PORTFOLIO MANAGEMENT**  
**Subject Code: MBA-921**  
**Paper ID: [C1172]**

**Time: 3 Hrs.**

**Max. Marks: 60**

**INSTRUCTIONS TO CANDIDATE:**

1. *The candidate is required to attempt four parts from section A each carrying 5 marks.*
2. *Attempt at least one question from all subsections of section B each carrying 8 marks.*
3. *Section C is compulsory with each question carrying 8 marks.*

**SECTION-A**

**5x4=20**

- Q1. a) What is the difference between institutional investors and retail investors?  
b) Compare and contrast Blue chips and penny stocks.  
c) Write a note on settlement mechanism  
d) Discuss the linkages between risk and return  
e) Discuss in detail the working of put option.  
f) Discuss strong form of EMH.

**SECTION-B**

**4x8=32**

Subsection 1

- Q2. What are various forms of trade orders? Write a note on circuit breakers?
- Q3. Discuss the investment vehicles available to individuals in India. Compare on basis of return and risk.

Subsection 2

- Q4. Elaborate the concept and use of industry analysis? Examine the role played by growth and competition in same.
- Q5. Discuss the concept of Dow Theory. Elaborate various charting techniques.

### Subsection 3

- Q6. Discuss the contribution of Markowitz to portfolio management? Discuss significance of beta.
- Q7. What do you mean by portfolio management? Discuss different portfolio revision techniques.

### Subsection 4

- Q8. What is difference between stock futures and options? Discuss their settlement procedure.
- Q9. Elaborate the concept of option strategies. How do we calculate payoffs.

### SECTION-C

#### CASE:-

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- Q10. Mr. XYZ has just got married. He plans for a family in short run. The combined income of the couple is 1 lac per month. They have no savings or fixed assets. Their expenditure is Rs. 50000 per month. You are required to suggest him investment portfolio as financial advisor assuming inflation and expenditure are set to rise.

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