

Java Collection Framework



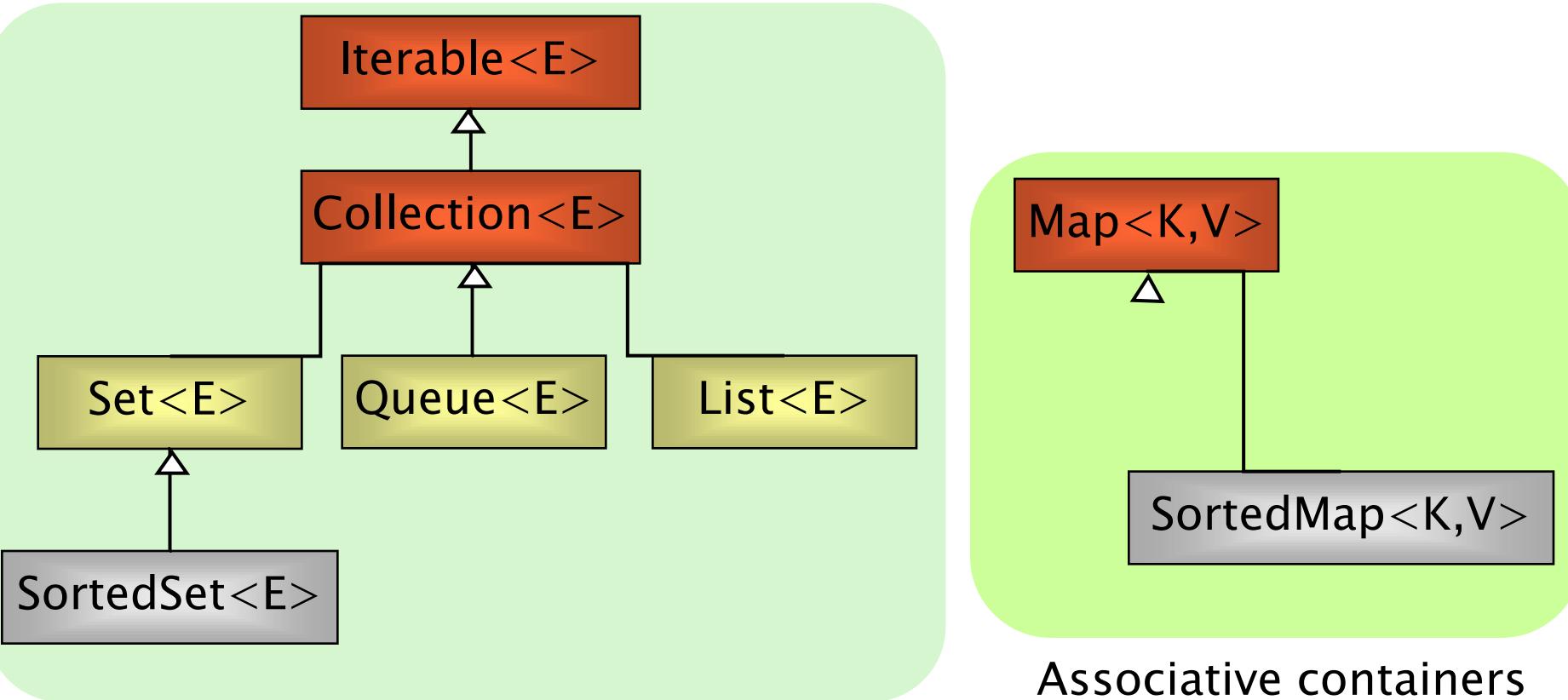
SoftEng
<http://softeng.polito.it>

Version March 2009

Framework

- Interfaces (ADT, Abstract Data Types)
- Implementations (of ADT)
- Algorithms (sort)
- **java.util.***
- Java 5 released!
 - ◆ Lots of changes about collections

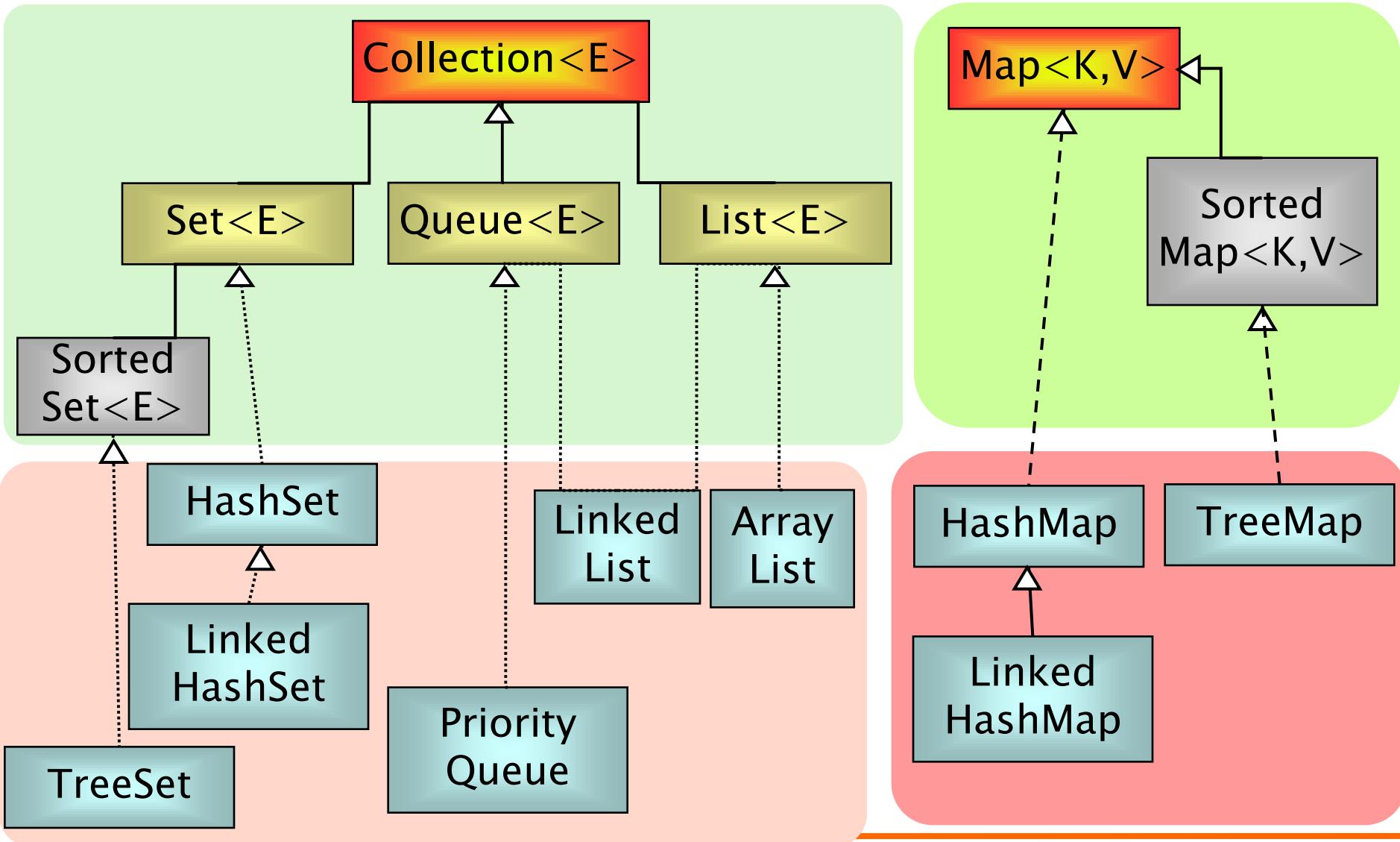
Interfaces



Group containers

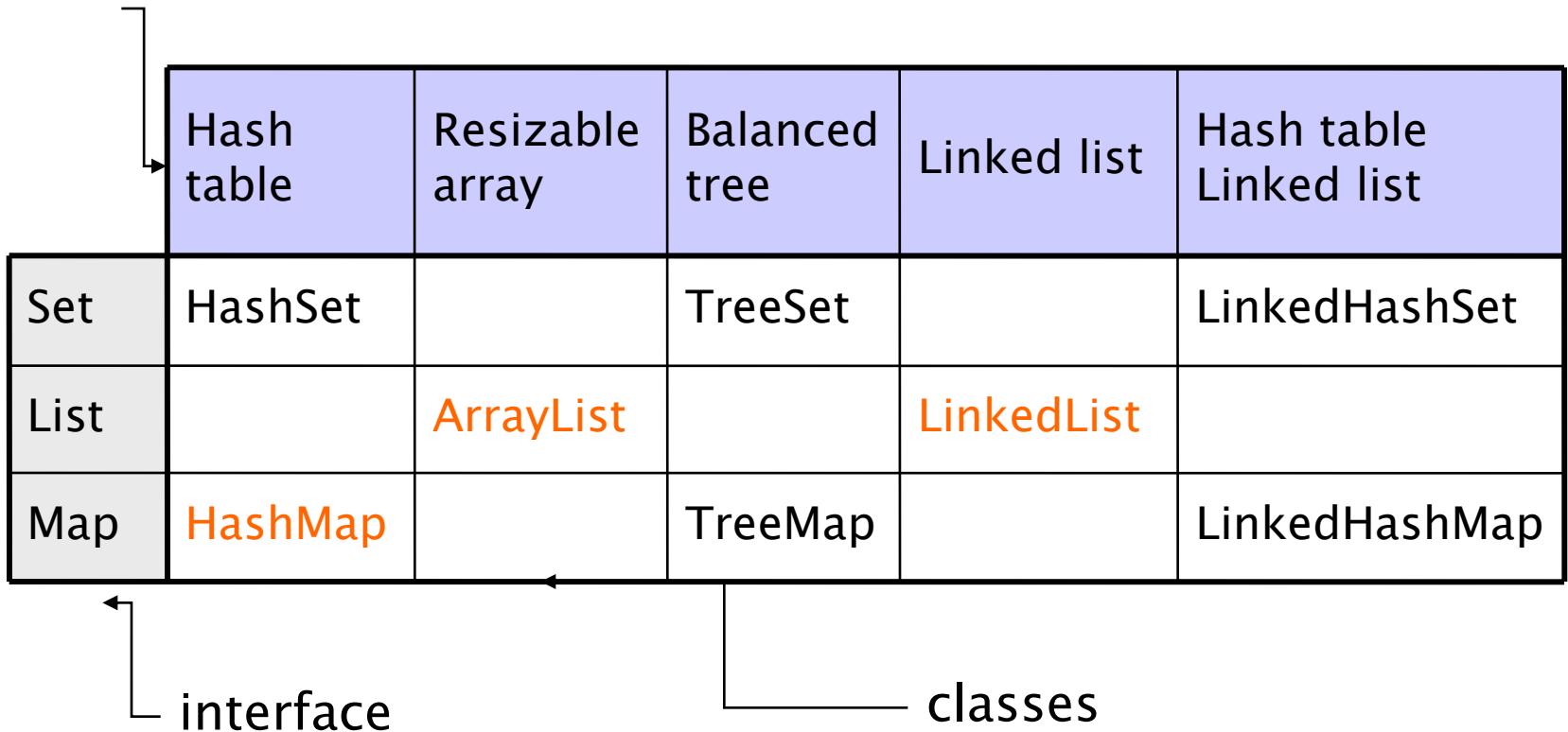
Associative containers

Implementations



Internals

data structure



Collection

- Group of elements (**references** to objects)
- It is not specified whether they are
 - ◆ Ordered / not ordered
 - ◆ Duplicated / not duplicated
- Following constructors are common to all classes implementing Collection
 - ◆ T()
 - ◆ T(Collection c)

Collection interface

- `int size()`
- `boolean isEmpty()`
- `boolean contains(Object element)`
- `boolean containsAll(Collection c)`
- `boolean add(Object element)`
- `boolean addAll(Collection c)`
- `boolean remove(Object element)`
- `boolean removeAll(Collection c)`
- `void clear()`
- `Object[] toArray()`
- `Iterator iterator()`

Collection example

```
Collection<Person> persons =  
    new LinkedList<Person>();  
persons.add( new Person("Alice") );  
System.out.println( persons.size() );  
  
Collection<Person> copy =  
    new TreeSet<Person>();  
copy.addAll(persons); //new TreeSet(persons)  
  
Person[] array = copy.toArray();  
System.out.println( array[0] );
```

Map

- An object that associates **keys to values** (e.g., SSN \Rightarrow Person)
- Keys and values must be **objects**
- **Keys** must be **unique**
- Only one value per key
- Following constructors are common to all collection implementers
 - ◆ **T()**
 - ◆ **T(Map m)**

Map interface

- Object **put**(Object key, Object value)
- Object **get**(Object key)
- Object **remove**(Object key)
- boolean **containsKey**(Object key)
- boolean **containsValue**(Object value)
- public Set **keySet**()
- public Collection **values**()
- int **size**()
- boolean **isEmpty**()
- void **clear**()

Map example

```
Map<String, Person> people =  
    new HashMap<String, Person>();  
people.put( "ALCSMT", //ssn  
    new Person("Alice", "Smith") );  
people.put( "RBTGRN", //ssn  
    new Person("Robert", "Green") );  
  
Person bob = people.get("RBTGRN");  
if( bob == null )  
    System.out.println( "Not found" );  
  
int populationSize = people.size();
```

Generic collections

- From Java 5, all collection interfaces and classes have been redefined as Generics
- Use of generics lead to code that is
 - ◆ safer
 - ◆ more compact
 - ◆ easier to understand
 - ◆ equally performing

Generic list – excerpt

```
public interface List<E>{  
    void add(E x);  
    Iterator<E> iterator();  
}  
  
public interface Iterator<E>{  
    E next();  
    boolean hasNext();  
}
```

Example

- Using a list of Integers

- ◆ Without generics (`ArrayList` `list`)

```
list.add(0, new Integer(42));  
int n= ((Integer)(list.get(0))).intValue();
```

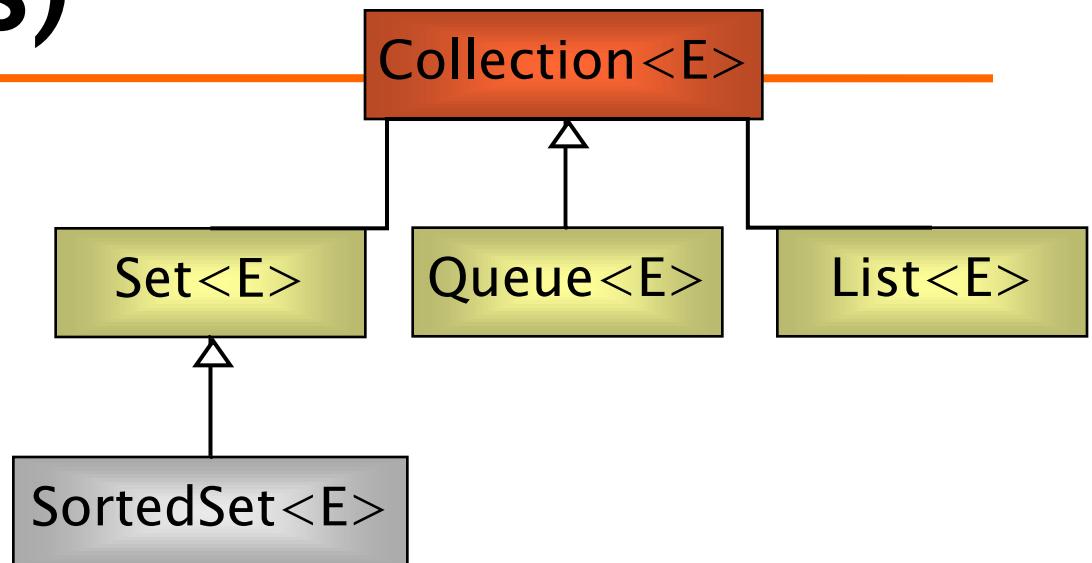
- ◆ With generics (`ArrayList<Integer>` `list`)

```
list.add(0, new Integer(42));  
int n= ((Integer)(list.get(0))).intValue();
```

- ◆ + autoboxing (`ArrayList<Integer>` `list`)

```
list.add(0,new Integer(42));  
int total = list.get(0).intValue();
```

Group containers (Collections)



List

- Can contain **duplicate elements**
- **Insertion order** is preserved
- User can define insertion point
- Elements can be accessed by **position**
- Augments Collection interface

List additional methods

- Object **get(int index)**
- Object **set(int index, Object element)**
- void **add(int index, Object element)**
- Object **remove(int index)**

- boolean **addAll(int index, Collection c)**
- int **indexOf(Object o)**
- int **lastIndexOf(Object o)**
- List **subList(int fromIndex, int toIndex)**

List implementations

ArrayList

- `get(n)`
 - ◆ Constant time
- Insert (beginning) and delete while iterating
 - ◆ Linear

LinkedList

- `get(n)`
 - ◆ Linear time
- Insert (beginning) and delete while iterating
 - ◆ Constant

List implementations

- **ArrayList**

- ◆ `ArrayList()`
- ◆ `ArrayList(int initialCapacity)`
- ◆ `ArrayList(Collection c)`
- ◆ `void ensureCapacity(int minCapacity)`

- **LinkedList**

- ◆ `void addFirst(Object o)`
- ◆ `void addLast(Object o)`
- ◆ `Object getFirst()`
- ◆ `Object getLast()`
- ◆ `Object removeFirst()`
- ◆ `Object removeLast()`

Example 1

```
LinkedList<Integer> ll =  
    new LinkedList<Integer>();  
  
ll.add(new Integer(10));  
ll.add(new Integer(11));  
  
ll.addLast(new Integer(13));  
ll.addFirst(new Integer(20));  
  
//20, 10, 11, 13
```

Example II

```
Car[] garage = new Car[20];  
  
garage[0] = new Car();  
garage[1] = new ElectricCar();  
garage[2] =  
garage[3] = List<Car> garage = new ArrayList<Car>(20);  
  
for(int i=0;  
    garage[i]  
}  
  
garage.set( 0, new Car() );  
garage.set( 1, new ElectricCar() );  
garage.set( 2, new ElectricCar() );  
garage.set( 3, new Car() );  
  
for(int i; i<garage.size(); i++) {  
    Car c = garage.get(i);  
    c.turnOn();  
}
```

Example III

```
List l = new ArrayList(2); // 2 refs to null  
  
l.add(new Integer(11));      // 11 in position 0  
l.add(0, new Integer(13)); // 11 in position 1  
l.set(0, new Integer(20)); // 13 replaced by 20  
  
l.add(9, new Integer(30)); // NO: out of  
bounds  
l.add(new Integer(30));    // OK, size  
extended
```

Queue

- Collection whose elements have an order (
 - ◆ not an ordered collection though
- Defines a **head** position where is the first element that can be accessed
 - ◆ **peek ()**
 - ◆ **poll ()**

Queue implementations

- **LinkedList**
 - ◆ head is the first element of the list
 - ◆ FIFO: Fist-In-First-Out
- **PriorityQueue**
 - ◆ head is the smallest element

Queue example

```
Queue<Integer> fifo =  
    new LinkedList<Integer>();  
  
Queue<Integer> pq =  
    new PriorityQueue<Integer>();  
  
fifo.add(3); pq.add(3);  
fifo.add(1); pq.add(1);  
fifo.add(2); pq.add(2);  
  
System.out.println(fifo.peek()); // 3  
System.out.println(pq.peek()); // 1
```

Set

- Contains no methods other than those inherited from Collection
- `add()` has restriction that **no duplicate elements** are allowed
 - ◆ `e1.equals(e2) == false` $\forall e1, e2 \in \Sigma$
- Iterator
 - ◆ The elements are traversed in **no particular order**

The equals() Contract

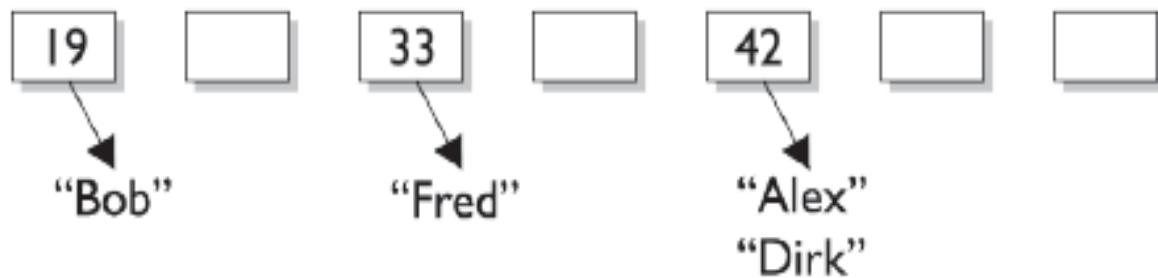
- It is **reflexive**: $x.equals(x) == \text{true}$
- It is **symmetric**: $x.equals(y) == y.equals(x)$
- It is **transitive**: for any reference values x , y , and z ,
if $x.equals(y) == \text{true}$ AND $y.equals(z) == \text{true}$
 $\Rightarrow x.equals(z) == \text{true}$
- It is **consistent**: for any reference values x and y ,
multiple invocations of $x.equals(y)$ consistently return
true (or false), provided that no information used in
equals comparisons on the object is modified.
- $x.equals(\text{null}) == \text{false}$

hashCode

Key	Hashcode Algorithm	Hashcode
Alex	$A(1) + L(12) + E(5) + X(24)$	= 42
Bob	$B(2) + O(15) + B(2)$	= 19
Dirk	$D(4) + I(9) + R(18) + K(11)$	= 42
Fred	$F(6) + R(18) + E(5) + (D)$	= 33

HashMap Collection

HashCode Buckets



The hashCode() contract

- The hashCode() method must consistently return the same int, if no information used in equals() comparisons on the object is modified.
- If two objects are equal for equals() method, then calling the hashCode() method on the two objects must produce the same integer result.
- If two objects are unequal for equals() method, then calling the hashCode() method on the two objects MAY produce distinct integer results.
 - producing distinct int results for unequal objects may improve the performance of hashtables

HashCode()

Condition	Required	Not Required (But Allowed)
<code>x.equals(y) == true</code>	<code>x.hashCode() == y.hashCode()</code>	
<code>x.hashCode() == y.hashCode()</code>		<code>x.equals(y) == true</code>
<code>x.equals(y) == false</code>		No hashCode() requirements
<code>x.hashCode() != y.hashCode()</code>	<code>x.equals(y) == false</code>	

equals() and hashCode()

- equals() and hashCode() are bound together by a joint contract that specifies if two objects are considered equal using the equals() method, then they must have identical hashCode values.

To be truly safe:

- If override equals(), override hashCode()
- Objects that are equals have to return identical hashcodes.

SortedSet

- No duplicate elements
- Iterator
 - ◆ The elements are traversed according to the natural ordering (ascending)
- Augments Set interface
 - ◆ Object `first()`
 - ◆ Object `last()`
 - ◆ SortedSet `headSet(Object toElement)`
 - ◆ SortedSet `tailSet(Object fromElement)`
 - ◆ SortedSet `subSet(Object from, Object to)`

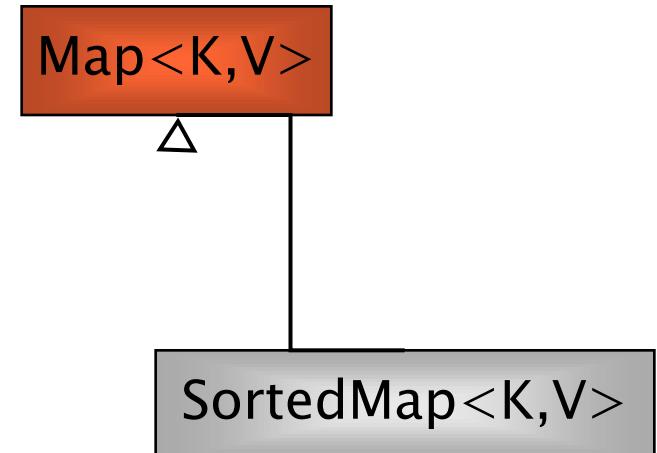
Set implementations

- **HashSet** implements **Set**
 - ◆ Hash tables as internal data structure (faster)
- **LinkedHashSet** extends HashSet
 - ◆ Elements are traversed by iterator according to the **insertion order**
- **TreeSet** implements **SortedSet**
 - ◆ R-B trees as internal data structure (computationally expensive)

Note on sorted collections

- Depending on the constructor used they require different implementation of the custom ordering
- **TreeSet()**
 - ◆ Natural ordering (elements must be implementations of Comparable)
- **TreeSet(Comparator c)**
 - ◆ Ordering is according to the comparator rules, instead of natural ordering

Associative containers (Maps)



SortedMap

- The elements are traversed according to the keys' **natural ordering** (ascending)
- Augments Map interface
 - ◆ `SortedMap subMap(K fromKey, K toKey)`
 - ◆ `SortedMap headMap(K toKey)`
 - ◆ `SortedMap tailMap(K fromKey)`
 - ◆ `K firstKey()`
 - ◆ `K lastKey()`

Map implementations

- Analogous of Set
- **HashMap** implements Map
 - ◆ No order
- **LinkedHashMap** extends HashMap
 - ◆ Insertion order
- **TreeMap** implements SortedMap
 - ◆ Ascending key order

HashMap

- Get/set takes **constant time** (in case of no collisions)
- Automatic re-allocation when load factor reached
- Constructor optional arguments
 - ◆ **load factor** (default = .75)
 - ◆ **initial capacity** (default = 16)

Using HashMap

```
Map<String,Student> students =  
    new HashMap<String,Student>();  
  
students.put("123",  
    new Student("123","Joe Smith"));  
  
Student s = students.get("123");  
  
for(Student si: students.values()) {  
}
```

Iterators



SoftEng
<http://softeng.polito.it>

Iterators and iteration

- A common operation with collections is to iterate over their elements
- Interface Iterator provides a transparent means to cycle through all elements of a Collection
- Keeps track of last visited element of the related collection
- Each time the current element is queried, it moves on automatically

Iterator interface

- **boolean hasNext()**
- **Object next()**
- **void remove()**

Iterator examples

Print all objects in a list

```
Collection<Person> persons =  
    new LinkedList<Person>();  
...  
for(Iterator<Person> i = persons.iterator();  
    i.hasNext(); ) {  
    Person p = i.next();  
    ...  
    System.out.println(p);  
}
```

Iterator examples

The for-each syntax avoids using iterator directly

```
Collection<Person> persons =  
    new LinkedList<Person>();  
...  
for(Person p: persons) {  
    ...  
    System.out.println(p);  
}
```

Iteration examples

Print all values in a map
(variant using while)

```
Map<String,Person> people =  
    new HashMap<String,Person>();  
...  
Collection<Person> values = people.values();  
  
for(Person p: values) {  
    System.out.println(p);  
}
```

Iteration examples

Print all keys AND values in a map

```
Map<String,Person> people =  
    new HashMap<String,Person>();  
...  
Collection<String> keys = people.keySet();  
for(String ssn: keys) {  
    Person p = people.get(ssn);  
    System.out.println(ssn + " - " + p);  
}
```

Iterator examples (until Java 1.4)

Print all objects in a list

```
Collection persons = new LinkedList();
...
for(Iterator i= persons.iterator(); i.hasNext(); )  {
    Person p = (Person)i.next();
    ...
}
```

Iteration examples (until Java 1.4)

Print all values in a map
(variant using while)

```
Map people = new HashMap();  
...  
Collection values = people.values();  
Iterator i = values.iterator();  
while( i.hasNext() ) {  
    Person p = (Person)i.next();  
    ...  
}
```

Iteration examples (until Java 1.4)

Print all keys AND values in a map

```
Map people = new HashMap();  
...  
Collection keys = people.keySet();  
for(Iterator i= keys.iterator(); i.hasNext();) {  
    String ssn = (String)i.next();  
    Person p = (Person)people.get(ssn);  
    ...  
}
```

Note well

- It is **unsafe** to iterate over a collection you are modifying (**add/del**) at the same time
- **Unless** you are using the iterator methods
 - ◆ `Iterator.remove()`
 - ◆ `ListIterator.add()`

Delete

```
List<Integer> lst=new LinkedList<Integer>();  
lst.add(new Integer(10));  
lst.add(new Integer(11));  
lst.add(new Integer(13));  
lst.add(new Integer(20));  
  
int count = 0;  
for (Iterator<?> itr = lst.iterator();  
                 itr.hasNext(); ) {  
    itr.next();  
    if (count==1)  
        lst.remove(count); // wrong  
    count++;  
}
```

ConcurrentModificationException

Delete (cont'd)

```
List<Integer> lst=new LinkedList<Integer>();  
lst.add(new Integer(10));  
lst.add(new Integer(11));  
lst.add(new Integer(13));  
lst.add(new Integer(20));  
  
int count = 0;  
for (Iterator<?> itr = lst.iterator();  
     itr.hasNext(); ) {  
    itr.next();  
    if (count==1)  
        itr.remove(); // ok  
    count++;  
}
```

Correct

Add

```
List lst = new LinkedList();
lst.add(new Integer(10));
lst.add(new Integer(11));
lst.add(new Integer(13));
lst.add(new Integer(20));

int count = 0;
for (Iterator itr = lst.iterator();
                 itr.hasNext(); ) {
    itr.next();
    if (count==2)
        lst.add(count, new Integer(22)); //wrong
    count++;
}
```

ConcurrentModificationException

Add (cont'd)

```
List<Integer> lst=new LinkedList<Integer>();  
lst.add(new Integer(10));  
lst.add(new Integer(11));  
lst.add(new Integer(13));  
lst.add(new Integer(20));  
  
int count = 0;  
for ((ListIterator<Integer> itr =  
        lst.listIterator(); itr.hasNext();) {  
    itr.next();  
    if (count==2)  
        itr.add(new Integer(22)); // ok  
    count++;  
}
```

Correct

Objects Ordering



SoftEng
<http://softeng.polito.it>

Comparable interface

```
public interface Comparable<T> {  
    public int compareTo(T obj);  
}
```

- Compares the receiving object with the specified object.
- Return value must be:
 - ◆ <0 if *this* precedes *obj*
 - ◆ ==0 if *this* has the same order as *obj*
 - ◆ >0 if *this* follows *obj*

Comparable

- The interface is implemented by language common types in packages `java.lang` and `java.util`
 - ◆ String objects are lexicographically ordered
 - ◆ Date objects are chronologically ordered
 - ◆ Number and sub-classes are ordered numerically

Custom ordering

- How to define an ordering upon **Student** objects according to the “natural alphabetic order”

```
public class Student
    implements Comparable<Student>{
    private String first;
    private String last;
    public int compareTo(Student o) {
        ...
    }
}
```

Custom ordering

```
public int compareTo(Student o) {  
    int cmp = lastName.compareTo(s.lastName);  
  
    if (cmp != 0)  
        return cmp;  
    else  
        return firstName.compareTo(s.firstName);  
}
```

Ordering “the old way”

- In pre Java 5 code we had:
 - ◆ `public int compareTo(Object obj)`
- No control on types
- A cast had to be performed within the method
 - ◆ Possible `ClassCastException` when comparing objects of unrelated types

Ordering “the old way”

```
public int compareTo(Object obj) {  
    Student s = (Student) obj;  
    int cmp = lastName.compareTo(s.lastName);  
  
    if(cmp!=0)  
        return cmp;  
    else  
        return firstName.compareTo(s.firstName);  
}
```

possible
run-time error

Custom ordering (alternative)

```
public interface Comparator<T> {  
    public int compare(T o1, T o2);  
}
```

- **java.util**
- Compares its two arguments
- Return value must be
 - ◆ <0 if o1 precedes o2
 - ◆ ==0 if o1 has the same ordering as o2
 - ◆ >0 if o1 follows o2

Custom ordering (alternative)

```
class StudentIDComparator
    implements Comparator<Student> {

    public int compare(Student s1, Student s2) {
        return s1.getID() - s2.getID();
    }
}
```

- Usually used to define alternative orderings to Comparable
- The “old way” version compares two Object references

Algorithms



SoftEng
<http://softeng.polito.it>

Algorithms

- Static methods of `java.util.Collections` class
 - ◆ Work on lists
- `sort()` – merge sort, $n \log(n)$
- `binarySearch()` – requires ordered sequence
- `shuffle()` – unsort
- `reverse()` – requires ordered sequence
- `rotate()` – of given a distance
- `min(), max()` – in a Collection

Sort method

- Two generic overloads:

- ◆ on Comparable objects:

```
public static <T extends Comparable<? super T>>
void sort(List<T> list)
```

- ◆ using a Comparator object:

```
public static <T>
void sort(List<T> list, Comparator<? super T>)
```

Sort generic

~~T extends Comparable<? super T>~~

MasterStudent **Student** **MasterStudent**

- Why `<? super T>` instead of just `<T>` ?

- ◆ Suppose you define
 - `MasterStudent extends Student { }`
- ◆ Intending to inherit the `Student` ordering
 - It does not implement
`Comparable<MasterStudent>`
 - But `MasterStudent` extends (indirectly)
`Comparable<Student>`

Custom ordering (alternative)

```
List students = new LinkedList();

students.add(new Student("Mary", "Smith", 34621));
students.add(new Student("Alice", "Knight", 13985));
students.add(new Student("Joe", "Smith", 95635));

Collections.sort(students); // sort by name

Collections.sort(students,
new StudentIDComparator()); // sort by ID
```

Search

- `<T> int binarySearch(List<? extends Comparable<? super T>> l, T key)`
 - ◆ Searches the specified object
 - ◆ List must be sorted into ascending order according to natural ordering
- `<T> int binarySearch(List<? extends T> l, T key, Comparator<? super T> c)`
 - ◆ Searches the specified object
 - ◆ List must be sorted into ascending order according to the specified comparator

Algorithms – Arrays

- Static methods of `java.util.Arrays` class
 - ◆ Work on object arrays
- `sort()`
- `binarySearch()`

Search – Arrays

- `int binarySearch(Object[] a, Object key)`
 - ◆ Searches the specified object
 - ◆ Array must be sorted into ascending order according to natural ordering
- `int binarySearch(Object[] a, Object key, Comparator c)`
 - ◆ Searches the specified object
 - ◆ Array must be sorted into ascending order according to the specified comparator