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I Semester M.Com. (F.A.) Examination, Feb. 2019

(CBCS Scheme)

FINANCE AND ACCOUNTING

Paper – 1.6 : Financial Markets and Services

Time : 3 Hours

Max. Marks : 70

SECTION – A

1. Answer **any seven** sub-questions. **Each** sub-question carries **2** marks. (7×2=14)
- What is Financial intermediation ?
 - Define financial system.
 - Explain the term "NASDAQ".
 - Bring out the features of third market and fourth market.
 - Who is an angel investor ?
 - Why credit rating is important ? Name 2 credit rating agencies.
 - What is the difference between bank rate and repo rate ?
 - List out the role of SEBI.
 - What is the importance of CAMEL rating ?
 - How the BASEL norms are fixed ?

SECTION – B

Answer **any four** questions. **Each** question carries **5** marks. (4×5=20)

- Elucidate the structure of Indian financial system.
- Explain the role and functions of stock market.
- Write short notes on the following :
 - Factoring
 - IDR
 - Custodial Service.

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5. Define the term derivatives and explain its types.
6. Differentiate fixed and floating rate theories of Interest.
7. What is the relevance of IRAC Norms with respect to financial sector reforms ?

SECTION – C

Answer **any three** questions. **Each** question carries 12 marks. (3×12=36)

8. What is the relationship between financial and economic development of a country ?
9. Discuss the various financial market instruments that are available in the Indian financial markets.
10. Discuss the role and functions of Depositories.
11. How financial markets and institutions are regulated in India ?
12. Who is a merchant banker ? What are the legal services which he can render ?



PG – 746

I Semester M.Com. (FA)/M.F.A. Examination, January/February 2018
(CBCS)

Paper – 1.6 : FINANCIAL MARKETS AND SERVICES

Time : 3 Hours

Max. Marks : 70

SECTION – A

Answer **any seven** sub-questions. **Each** question carries **two** marks. (7×2=14)

1. a) What is performance guarantee ?
- b) State the functions of FOREX market.
- c) Who are the participants in call money market ?
- d) Distinguish CP and CD.
- e) What is offer for sale ?
- f) What are 'A' group securities ?
- g) What are Leveraged lease ?
- h) Define mutual fund.
- i) What are the functions of Monetary Policy Committee ?
- j) What is meant by exposure norms of banks ?

SECTION – B

Answer **any four** questions. **Each** question carries **5** marks : (4×5=20)

2. Explain the features of financial instruments.
3. Explain the functions of DFHI.
4. Bring out the differences between financial and operating leases.
5. Explain the areas covered under "CAMELS" rating.
6. Explain the features of futures contract.
7. Explain the role of merchant bankers in financial market.

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SECTION - C

Answer **any three** questions. **Each** question carries **12** marks. **(3x12=36)**

8. Explain in detail Basel II and III accords covering capital adequacy for banks.
9. Discuss the role of venture capital in industrial development.
10. Explain the various types of factoring.
11. Describe the advantages of depository system.
12. What is credit rating ? Bring out the advantages of credit rating in financing high value loans.



PG – 973

I Semester M.F.A. Examination, January 2016
(Semester Scheme) (New)
FINANCE AND ACCOUNTING
Paper – 1.6 : Financial Markets and Services

Time : 3 Hours

Max. Marks : 80

Instruction : Answer all Sections.

SECTION – A

Answer **any ten** sub-questions in about **3-4** lines **each** from the following. **Each** sub-question carries **two** marks. **(10×2=20)**

1. a) What is financial intermediation ?
- b) What is loan syndication ?
- c) What is discount market ?
- d) Distinguish between forwards and futures.
- e) What is option cycle ?
- f) What do you mean by equilibrium in financial markets ?
- g) What is Bank rate ?
- h) What do you mean by factoring services ?
- i) What is Marginal Standing Facility (MSF) ?
- j) What is credit rating ?
- k) What do you mean by derivatives ?
- l) Define financial market.

SECTION – B

Answer **any three** questions in about **one** page **each**. **Each** question carries **five** marks. **(3×5=15)**

2. Why do companies go for leasing of assets ? Explain.
3. What are the problems faced by financial services industry in India ?

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4. What are the advantages of underwriting ?
5. Explain Indian Financial System.
6. Explain the functions of discount and finance house of India.

SECTION – C

Answer **any two** questions, in about **3 pages each**. **Each** question carries **fifteen** marks. **(2×15=30)**

7. Explain the trading and settlement system of OTCEI.
8. Discuss the role of merchant bankers in the new issue market.
9. Explain the role of SEBI in primary and secondary market.
10. Explain the role of RBI in regulating financial markets and institutions.

SECTION – D

Compulsory :

(1×15=15)

11. Describe the origin of venture capital in India and various stages of venture capital financing offered by the Indian Venture Capital Companies.



PG – 1046

I Semester M.F.A. Examination, January 2015
(Semester Scheme)

FINANCE AND ACCOUNTING
Paper – 1.6 : Financial Markets and Services

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **any ten** questions in about **3 – 4** lines **each** from the following. **Each** question carries **two** marks. **(10×2=20)**

1. a) What is ASBA ?
- b) What are Pre-emptive rights ?
- c) What is Dry and Wet lease ?
- d) What is Marginal Standing Facility (MSF) ?
- e) What is stock option scheme ?
- f) What is loan syndication ?
- g) What are derivative contracts ?
- h) What is meant by open market operations ?
- i) What are the features of certificate of deposit ?
- j) What is without recourse factoring ?
- k) What is the difference between nominal rate and real rate of interest ?
- l) What is Marginal trading ?

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SECTION – B

Answer **any three** questions in about **one** page. **Each** question carries **five** marks.

(3×5=15)

2. Describe the features of any two money market instruments.
3. What is listing of securities ? What are the advantages of listing ?
4. What is the role of the RBI in security market ?
5. Highlight the importance of merchant banking.
6. Describe the process of Securitisation of debt.

SECTION – C

Answer **any two** questions in about **three** pages. **Each** question carries **fifteen** marks.

(2×15=30)

7. Describe the features of financial services.\
8. Describe the role of SEBI as a regulator of the security market.
9. What are the characteristics of venture capital ? Enumerate the RBI guidelines.
10. Describe the different kinds of financial services provided by banks and financial institutions.

SECTION – D

(Compulsory)

(1×15=15)

11. The Indian Financial Sector is playing a vital role in economic development. Highlight the reforms introduced in various sectors such as banking, capital market, insurance and external sectors.

